



#12/RCE/800 3-11-03
Atty. Dkt. No. 039153-0256 (F0113)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yu
Title: A PROCESS FOR
MANUFACTURING
TRANSISTORS HAVING
SILICON/GERMANIUM
CHANNEL REGIONS
Appl. No.: 09/599,141
Appl. Filing Date: 06/22/2000
Examiner: Roman, A.
Art Unit: 2812

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below. Kathryn I. Berg (Printed Name) Kathryn I. Berg (Signature) 2/24/2003 (Date of Deposit)
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REQUEST FOR CONTINUED EXAMINATION (RCE)
TRANSMITTAL

Commissioner for Patents
Box RCE
Washington, D.C. 20231

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

1. Submission required under 37 C.F.R. §1.114: (check items that apply)

a. Previously submitted:

- ☐ Please enter and consider the amendment/reply previously filed on ____.
- ☐ Please consider the Affidavit(s)/Declaration(s) previously filed on ____ but not considered.
- ☐ Please consider the arguments in the Appeal Brief or Reply Brief under 37 C.F.R. § 1.116 previously filed on ____.
- ☐ Other ____.

b. Enclosed are:

03/04/2003 AWONDAF1 00000099 09599141

01 FC:1801	750.00 OP
02 FC:1202	18.00 OP
03 FC:1251	110.00 OP
001.1384066.1	

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- ☒ Preliminary Amendment/Reply.
- ☐ Affidavit(s)/Declaration(s).
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of ___ listed reference(s).
- ☐ Other .

Miscellaneous:

- ☐ Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of ___ months.

The filing fee is calculated below:

	Claims as Amended	Previously Paid For	Extra Claims Present	Rate	Fee Totals
RCE Fee 1.17(e)				\$750.00	\$750.00
Total Claims:	<u>27</u>	— <u>26</u>	= <u>1</u>	x \$18.00	= <u>\$18.00</u>
Independents:	<u>4</u>	— <u>4</u>	= <u>0</u>	x \$84.00	= <u>\$0.00</u>
First presentation of any Multiple Dependent Claims:			+	\$280.00	= <u>\$0.00</u>
CLAIMS FEE TOTAL:					= <u>\$768.00</u>

☒ Applicant hereby petitions for an extension of time under 37 C.F.R. § 1.136(a) for the total number of months checked below:

<input checked="" type="checkbox"/>	Extension for response filed within the first month:	\$110.00	\$110.00
<input type="checkbox"/>	Extension for response filed within the second month:	\$410.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the third month:	\$930.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fourth month:	\$1,450.00	\$0.00
<input type="checkbox"/>	Extension for response filed within the fifth month:	\$1,970.00	\$0.00
EXTENSION FEE TOTAL:			\$110.00
CLAIMS AND EXTENSION FEE TOTAL:			\$878.00
<input type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):		\$0.00
<input type="checkbox"/>	Suspension of action requested under 37 C.F.R. § 1.103(c)		\$0.00
TOTAL FEE:			\$878.00

☒ A check in the amount of \$878.00 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

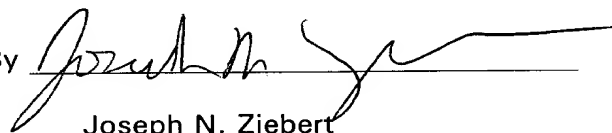
Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date

2-24-03

By



Joseph N. Ziebert
Attorney for Applicant
Registration No. 35,421

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#13/Pre-Amend
3-3-03
Jal

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PRELIMINARY AMENDMENT

Commissioner for Patents
Box RCE
Washington, D.C. 20231

Sir:

Please amend the application as follows:

In the Claims:

In accordance with 37 C.F.R. § 1.121, please substitute for original claims 1, 11 and 12, the following rewritten versions of the same claims, as amended. The changes are shown explicitly in the attached "Version with Markings to Show Changes Made".

Please amend claims 1, 11 and 12.

- 1 1. (Twice Amended) A method of manufacturing an integrated
2 circuit, comprising:
3 providing an amorphous semiconductor material including
4 germanium above a bulk substrate of semiconductor material;

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